



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:)
)
 Matthew M. Morrison et al.) Before the Examiner
) (not yet assigned)
)
 Serial No. 09/941,056) Group Art Unit 3732
)
 Filed August 28, 1999)
)
 MULTI-AXIAL BONE ANCHOR) October 12, 2001
 SYSTEM)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on

12 October 2001

Date of Deposit

Christopher A. Brown

Name of Registered Representative

Christopher A. Brown

Signature

12 October 2001

Date of Signature

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
 Washington, D.C. 20231

Sir:

Please consider the following. The filing fee originally remitted was sufficient to cover three independent claims and 34 total claims. By the present amendment, the application now includes 34 claims, of which 7 are independent. Accordingly, enclosed is a check in the amount of \$336.00 in payment of the fees for four additional independent claims. If any further fees are deemed to be due, please charge them to Deposit Account No. 23-3030, but not to include any payment of issue fees.

Adjustment date: 11/21/2001 MROCHA1
 10/18/2001 AMONDAF1 00000101 09941056
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IN THE SPECIFICATION

Please add the following as the first paragraph on page 1 of this application:

This application is a continuation of U.S. Application Serial No. 09/526,189, now U.S. Patent No. 6,280,445, which claimed the benefit of U.S. Provisional Application Serial Nos. 60/149,774 and 60/129,587, and this application claims the benefits thereof under 35 U.S.C. 119(e) and 120.

Repln. Ref: 11/21/2001 MROCHA1 0011394700
 DA# 233030 Name/Number: 09941056
 FC: 704 \$336.00 CR